



Securities & Exchange Board of India (SEBI) & Functions of SEBI



An illustration of a person sitting on a stack of books, with a clock and a globe nearby.

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- **SEBI** is the regulator for securities markets in India.
- **Question:** In which year was SEBI given statutory powers ?
a. 1988 b. 1992 c. 1980 d. None of these
- **Question :** Who is the present chairman of SEBI ?
a. U K Sinha b. Rajveer Tyagi c. Ajay Tyagi d. Kuldeep Sinha
- **Headquarters :** Mumbai



- Functions of SEBI

- To regulate security markets in India.
- To issue guidelines to protect the interest of investors.
- To educate the investors.
- Can investigate cases of insider trading.
- Registration and training of financial intermediaries.
- Regulates the registration and working of Mutual funds.
- Conducts inquiries in stock exchanges.
- Registration and regulation of working of central depositories.
- Can pass judgement in appropriate matters under its jurisdiction.



- So, we can say that SEBI has :
 - Quasi-legislative powers
 - Quasi-judicial powers
 - Quasi-executive powers
- **Forward Markets Commission** : Chief regulator of commodity futures market in India.
 - FMC was merged with SEBI in 2015.





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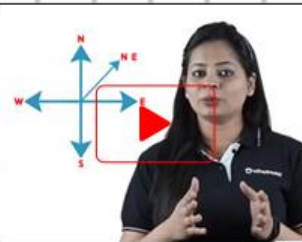


Direction Sense

1. INTRO AND BASIC CONCEPTS

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INTRODUCTION



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